

- 2105 Patentable Subject Matter — Living Subject Matter**
- 2106 Patentable Subject Matter — Mathematical Algorithms or Computer Programs**
 - 2106.01 Computer Programming and 35 U.S.C. 112, First Paragraph
 - 2106.02 Disclosure in Computer Programming Cases
- 2120 The Statutory Bars of "Public Use" and "On Sale" (35 U.S.C. 102(b))**
- 2121 General Overview**
- 2122 Preliminary Handling**
- 2123 Forms of Evidence**
- 2124 Determination of the Prima Facie Case**
- 2125 Determination of What Was in Public Use or on Sale in the United States**
 - 2125.01 "The Invention"
 - 2125.02 "In Public Use"
 - 2125.03 "On Sale"
 - 2125.04 "In This Country"
- 2126 Determination of When Public Use or On Sale Activity Took Place**
 - 2126.01 "More Than One Year Prior to the Date of the Application for Patent in the United States"
- 2127 Determination of Whether Any Pending Claims Are Anticipated by or Obvious Over an Invention Found To Be in Public Use or on Sale**
- 2128 Permitted Activity**
 - 2128.01 Experimental Use
 - 2128.02 Experimentation and the Development of Prototypes
 - 2128.03 Experimentation and the Degree of Supervision and Control Maintained by an Inventor over an Invention
 - 2128.04 Permitted Experimental Activity and the Testing of an Invention
 - 2128.05 Permitted Experimental Activity Vis-a-Vis Modifications and Refinements to an Invention
 - 2128.06 Activity of an Independent Third Party Inventor
 - 2128.07 Evidence in Support of Permitted Activity
- 2129 The Written Action by the Examiner including a § 102(b) rejection**
- 2153 Prior Art Under 35 U.S.C. 102(e)**
- 2185 102(f)/103 and 102 (g)/103 Practice, In General**
- 2186 Guidelines As to Implementation of 35 U.S.C. 103, second paragraph**
- 2187 Ownership At the Time The Invention Was Made**
- 2188 Establishing Common Ownership At The Time The Invention Was Made**
 - 2188.01 Examination of Applications of Different Inventive Entities Where Common Ownership Is Not Established
 - 2188.02 Examination of Applications of Different Inventive Entities Where Common Ownership Is Established
- 2189 Examination of Joint Applications Under §103 and §116**

2105 Patentable Subject Matter — >Living Subject Matter<* [R-6]

The decision of the Supreme Court in *Diamond v. Chakrabarty*, 206 USPQ 193 (1980) held that microorganisms produced by genetic engineering are not excluded from patent protection by 35 U.S.C. 101. It is clear from the Supreme Court decision and opinion that the question of whether or not an

invention embraces living matter is irrelevant to the issue of patentability. The test set down by the Court for patentable subject matter in this area is whether the living matter is the result of human intervention.

In view of this decision the Office >has issued<** these guidelines as to how 35 U.S.C. 101 will be interpreted.

The Supreme Court made the following points in the Chakrabarty opinion:

1. "Guided by these cannons of construction, this Court has read the term 'manufacture' in § 101 in accordance with its dictionary definition to mean 'the production of articles for use from raw materials prepared by giving to these materials new forms, qualities, properties, or combinations whether by hand labor or by machinery.'"

2. "In choosing such expansive terms as 'manufacture' and 'composition of matter,' modified by the comprehensive 'any,' Congress plainly contemplated that the patent laws would be given wide scope."

3. "The Act embodied Jefferson's philosophy that 'ingenuity should receive a liberal encouragement.' V Writings of Thomas Jefferson, at 75-76. See *Graham v. John Deere Co.*, 383 U.S. 1, 7-10 (1966). Subsequent patent statutes in 1836, 1870, and 1874 employed this same broad language. In 1952, when the patent laws were recodified Congress replaced the word 'art' with 'process,' but otherwise left Jefferson's language intact. The Committee Reports accompanying the 1952 act inform us that Congress intended statutory subject matter to 'include any thing under the sun that is made by man.' S. Rep. No. 1979, 82d Cong. 2d Sess., 5 (1952) "

4. "This is not to suggest that § 101 has no limits or that it embraces every discovery. The laws of nature, physical phenomena, and abstract ideas have been held not patentable."

5. "Thus, a new mineral discovered in the earth or a new plant found in the wild is not patentable subject matter. Likewise, Einstein could not patent his celebrated law that $E=mc^2$; nor could Newton have patented the law of gravity."

6. "His claim is not to a hitherto unknown natural phenomenon, but to a nonnaturally occurring manufacture or composition of matter — a product of human ingenuity 'having a distinctive name, character [and] use.'"

7. "Congress thus recognized that the relevant distinction was not between living and inanimate things, but between products of nature, whether living or not, and human-made inventions. Here, respondent's microorganism is the result of human ingenuity and research."

8. After reference to *Funk Seed & Kalo Co.*, 333 U.S.127 (1948), "Here, by contrast, the patentee has produced a new bacterium with markedly different characteristics from any found in nature and one having the potential for significant utility. His discovery is not nature's handiwork, but his own; accordingly it is patentable subject matter under § 101."

A review of the Court statements above as well as the whole Chakrabarty opinion reveals:

(1) That the Court did not limit its decision to genetically engineered living organisms,

(2) The Court enunciated a very broad interpretation of "manufacture" and "composition of matter" in Section 101 (Note esp. quotes 1, 2, and 3 above),